# **Complete Summary**

# **TITLE**

Surgical care improvement project: percent of patients who received prophylactic antibiotics within one hour prior to surgical incision.

# SOURCE(S)

Specifications manual for national hospital inpatient quality measures, version 3.0c. Centers for Medicare & Medicaid Services (CMS), The Joint Commission; 2009 Oct 1. various p.

# **Measure Domain**

# **PRIMARY MEASURE DOMAIN**

**Process** 

The validity of measures depends on how they are built. By examining the key building blocks of a measure, you can assess its validity for your purpose. For more information, visit the <u>Measure Validity</u> page.

# **SECONDARY MEASURE DOMAIN**

Does not apply to this measure

# **Brief Abstract**

# **DESCRIPTION**

This measure is used to assess the percent of surgical patients who received prophylactic antibiotics within one hour prior to surgical incision. Patients who received vancomycin or a fluoroquinolone for prophylactic antibiotics should have the antibiotics administered within two hours prior to surgical incision. Due to the longer infusion time required for vancomycin or a fluoroquinolone, it is acceptable to start these antibiotics within two hours prior to incision time.

#### **RATIONALE**

A goal of prophylaxis with antibiotics is to establish bactericidal tissue and serum levels at the time of skin incision. Studies performed in the 1960's and 1970's demonstrated that a common reason for failure of prophylaxis was delay of antibiotic administration until after the operation. In a study of 2,847 surgery patients at Latter-Day Saints (LDS) Hospital in Salt Lake City, it was found that

the lowest incidence of post-operative infection was associated with antibiotic administration during the one hour prior to surgery. The risk of infection increased progressively with greater time intervals between administration and skin incision. The relationship was observed whether antibiotics preceded or followed skin incision.

Opportunities to improve care have been demonstrated and timely administration has been recommended. For example, at LDS Hospital, administration of the first antibiotic dose "on call" to the operating room was frequently associated with timing errors. Altering the system there resulted in an increase in appropriate timing from 40% of cases in 1985 to 99% of cases in 1998.

## PRIMARY CLINICAL COMPONENT

Surgical care infection prevention; timely prophylactic antibiotic administration; coronary artery bypass graft (CABG); other cardiac surgery; hip arthroplasty; knee arthroplasty; colon surgery; hysterectomy; vascular surgery

#### **DENOMINATOR DESCRIPTION**

All selected surgical patients with no evidence of prior infection (see the related "Denominator Inclusions/Exclusions" field in the Complete Summary and Appendix A, Table 5.10 for the list of selected surgeries)

# **NUMERATOR DESCRIPTION**

Number of surgical patients who received prophylactic antibiotics within one hour prior to surgical incision (two hours if receiving vancomycin or a fluoroquinolone)

# **Evidence Supporting the Measure**

# **EVIDENCE SUPPORTING THE CRITERION OF QUALITY**

- A clinical practice guideline or other peer-reviewed synthesis of the clinical evidence
- One or more research studies published in a National Library of Medicine (NLM) indexed, peer-reviewed journal

# **Evidence Supporting Need for the Measure**

# **NEED FOR THE MEASURE**

Use of this measure to improve performance

# **EVIDENCE SUPPORTING NEED FOR THE MEASURE**

ACOG Committee on Practice Bulletins. ACOG Practice Bulletin No. 74. Antibiotic prophylaxis for gynecologic procedures. Obstet Gynecol2006 Jul;108(1):225-34. PubMed

Bernard HR, Cole WR. The prophylaxis of surgical infection: the effect of prophylactic antimicrobial drugs on the incidence of infection following potentially contaminated operations. Surgery1964 Jul;56:151-7. PubMed

Bratzler DW, Houck PM, Surgical Infection Prevention Guidelines Writers Workgroup, American Academy of Orthopaedic Surgeons, American Association of Critical Care Nurses, American Association of Nurse Anesthetists, American College of Surgeons, American College of Osteopathic Surgeons, American Geriatrics Society, American Society of Anesthesiologists, American Society of Colon and Rectal Surgeons, American Society of Health-System Pharmacists, American Society of PeriAnesthesia Nurses, Ascension Health, Association of periOperative Registered Nurses, Association for Professionals in Infection Control and Epidemiology, Infectious Diseases Society of America, Medical Letter, Premier, Society for Healthcare Epidemiology of America, Society of Thoracic Surgeons, Surgical Infection Society. Antimicrobial prophylaxis for surgery: an advisory statement from the National Surgical Infection Prevention Project. Clin Infect Dis2004 Jun 15;38(12):1706-15. [90 references] PubMed

Finkelstein R, Reinhertz G, Embom A. Surveillance of the use of antibiotic prophylaxis in surgery. Isr J Med Sci1996 Nov;32(11):1093-7. PubMed

Gorecki P, Schein M, Rucinski JC, Wise L. Antibiotic administration in patients undergoing common surgical procedures in a community teaching hospital: the chaos continues. World J Surg1999 May;23(5):429-32; discussion 433. PubMed

Larsen RA, Evans RS, Burke JP, Pestotnik SL, Gardner RM, Classen DC. Improved perioperative antibiotic use and reduced surgical wound infections through use of computer decision analysis. Infect Control Hosp Epidemiol1989 Jul;10(7):316-20. <a href="PubMed">PubMed</a>

Mangram AJ, Horan TC, Pearson ML, Silver LC, Jarvis WR, Hospital Infection Control Practices Advisory Committee. Guideline for prevention of surgical site infection, 1999. Hospital Infection Control Practices Advisory Committee. Infect Control Hosp Epidemiol1999 Apr;20(4):250-78; quiz 279-80. [497 references] PubMed

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Polk HC Jr, Lopez-Mayor JF. Postoperative wound infection: a prospective study of determinant factors and prevention. Surgery1969 Jul;66(1):97-103. <a href="PubMed">PubMed</a>

Silver A, Eichorn A, Kral J, Pickett G, Barie P, Pryor V, Dearie MB. Timeliness and use of antibiotic prophylaxis in selected inpatient surgical procedures. The Antibiotic Prophylaxis Study Group. Am J Surg1996 Jun;171(6):548-52. PubMed

Stone HH, Hooper CA, Kolb LD, Geheber CE, Dawkins EJ. Antibiotic prophylaxis in gastric, biliary and colonic surgery. Ann Surg1976 Oct;184(4):443-52. PubMed

# **State of Use of the Measure**

# **STATE OF USE**

Current routine use

#### **CURRENT USE**

Accreditation
Collaborative inter-organizational quality improvement
External oversight/Medicaid
External oversight/Medicare
Internal quality improvement
National reporting
Pay-for-performance

# **Application of Measure in its Current Use**

# **CARE SETTING**

Hospitals

## PROFESSIONALS RESPONSIBLE FOR HEALTH CARE

Measure is not provider specific

# LOWEST LEVEL OF HEALTH CARE DELIVERY ADDRESSED

Single Health Care Delivery Organizations

## **TARGET POPULATION AGE**

Age greater than or equal to 18 years

# **TARGET POPULATION GENDER**

Either male or female

# STRATIFICATION BY VULNERABLE POPULATIONS

Unspecified

# **Characteristics of the Primary Clinical Component**

# INCIDENCE/PREVALENCE

See the "Burden of Illness" field.

# **ASSOCIATION WITH VULNERABLE POPULATIONS**

Unspecified

#### **BURDEN OF ILLNESS**

The second most common cause of nosocomial infections is surgical site infections. Surgical site infections occur in 2-5% of clean extra-abdominal surgeries and up to 20% of intra-abdominal surgeries. Patients who develop surgical site infections are sixty percent more likely to spend time in an intensive care unit (ICU), five times more likely to be readmitted to the hospital and have twice the incidence of mortality. The costs of postoperative complications have been associated with an average increase in payment of 54% per patient. Despite advances in infection control practices, surgical site infections remain a substantial cause of morbidity and mortality among hospitalized patients. Studies indicate that appropriate preoperative administration of antibiotics is effective in preventing infection. Systemic and process changes that promote compliance with established guidelines and standards can decrease infectious morbidity.

# **EVIDENCE FOR BURDEN OF ILLNESS**

Auerbach AD. Prevention of surgical site infections. In: University of California at San Francisco (USCF) Stanford University Evidence-based Practice Center. Making health care safer: a critical analysis of patient safety practices. Online ed. Rockville (MD): Agency for Healthcare Research and Quality (AHRQ); 2002. p. 221-230. (Evidence Report/Technology Assessment; no. 43).

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Burke JP. Infection control - a problem for patient safety. N Engl J Med2003 Feb 13;348(7):651-6. <u>PubMed</u>

Delgado-Rodriguez M, Sillero-Arenas M, Medina-Cuadros M, Martinez-Gallego G. Nosocomial infections in surgical patients: comparison of two measures of intrinsic patient risk. Infect Control Hosp Epidemiol1997 Jan;18(1):19-23. <a href="PubMed">PubMed</a>

Dimick JB, Weeks WB, Karia RJ, Das S, Campbell DA Jr. Who pays for poor surgical quality? Building a business case for quality improvement. J Am Coll Surg2006 Jun;202(6):933-7. PubMed

Edwards FH, Engelman RM, Houck P, Shahian DM, Bridges CR, Society of Thoracic Surgeons. The Society of Thoracic Surgeons Practice Guideline Series: Antibiotic Prophylaxis in Cardiac Surgery, Part I: Duration. Ann Thorac Surg2006 Jan;81(1):397-404. PubMed

Horan TC, Culver DH, Gaynes RP, Jarvis WR, Edwards JR, Reid CR. Nosocomial infections in surgical patients in the United States, January 1986-June 1992. National Nosocomial Infections Surveillance (NNIS) System. Infect Control Hosp Epidemiol1993 Feb;14(2):73-80. PubMed

Kirkland KB, Briggs JP, Trivette SL, Wilkinson WE, Sexton DJ. The impact of surgical-site infections in the 1990s: attributable mortality, excess length of hospitalization, and extra costs. Infect Control Hosp Epidemiol1999 Nov;20(11):725-30. PubMed

Mangram AJ, Horan TC, Pearson ML, Silver LC, Jarvis WR. Guideline for prevention of surgical site infection, 1999. Hospital Infection Control Practices Advisory Committee. Infect Control Hosp Epidemiol1999;20(4):250-78; quiz 279-80.

National Nosocomial Infections Surveillance (NNIS) report, data summary from October 1986-April 1996, issued May 1996. A report from the National Nosocomial Infections Surveillance (NNIS) System. Am J Infect Control1996 Oct;24(5):380-8. PubMed

Scheel O, Stormark M. National prevalence survey on hospital infections in Norway. J Hosp Infect1999 Apr;41(4):331-5. <a href="PubMed">PubMed</a>

Wallace WC, Cinat M, Gornick WB, Lekawa ME, Wilson SE. Nosocomial infections in the surgical intensive care unit: a difference between trauma and surgical patients. Am Surg1999 Oct;65(10):987-90. PubMed

## **UTILIZATION**

See the "Burden of Illness" field.

#### COSTS

See the "Burden of Illness" field.

Institute of Medicine National Healthcare Quality Report Categories

# **IOM CARE NEED**

Staying Healthy

#### **IOM DOMAIN**

# **Data Collection for the Measure**

## **CASE FINDING**

Users of care only

## **DESCRIPTION OF CASE FINDING**

Discharges, 18 years of age and older, with an International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) principal procedure code of selected surgeries as defined in the appendices of the original measure documentation with no evidence of prior infection

#### **DENOMINATOR SAMPLING FRAME**

Patients associated with provider

# **DENOMINATOR INCLUSIONS/EXCLUSIONS**

#### **Inclusions**

Discharges, 18 years of age and older, with an International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) Principal Procedure Code of selected surgeries\* as defined in the appendices of the original measure documentation with no evidence of prior infection

# \*Selected surgeries:

- Coronary artery bypass graft (CABG)
- Other cardiac surgery
- Hip arthroplasty
- Knee arthroplasty
- Colon surgery
- Hysterectomy
- Vascular surgery

# **Exclusions**

- Patients less than 18 years of age
- Patients who have a Length of Stay (LOS) greater than 120 days
- Patients who had a hysterectomy and a caesarean section performed during this hospitalization
- Patients who had a principal diagnosis suggestive of preoperative infectious diseases (as defined in Appendix A, Table 5.09 of the original measure documentation for ICD-9-CM codes)
- Patients whose ICD-9-CM principal procedure was performed entirely by Laparoscope
- Patients enrolled in clinical trials

- Patients whose ICD-9-CM principal procedure occurred prior to the date of admission
- Patients with physician/advanced practice nurse/physician assistant (physician/APN/PA) documented infection prior to surgical procedure of interest
- Patients who had a Joint Revision
- Patients who had other procedures requiring general or spinal anesthesia that occurred within 3 days (4 days for CABG or Other Cardiac Surgery) prior to or after the procedure of interest (during separate surgical episodes) during this hospital stay
- Patients who were receiving antibiotics more than 24 hours prior to surgery
- Patients who were receiving antibiotics within 24 hours prior to arrival (except colon surgery patients taking oral prophylactic antibiotics)

# RELATIONSHIP OF DENOMINATOR TO NUMERATOR

All cases in the denominator are equally eligible to appear in the numerator

# **DENOMINATOR (INDEX) EVENT**

Institutionalization
Therapeutic Intervention

#### **DENOMINATOR TIME WINDOW**

Time window brackets index event

## **NUMERATOR INCLUSIONS/EXCLUSIONS**

## **Inclusions**

Number of surgical patients with prophylactic antibiotics initiated within one hour prior to surgical incision (two hours if receiving vancomycin, in Appendix C, Table 3.8, or a fluoroquinolone, in Appendix C, Table 3.10 of the original measure documentation)

#### **Exclusions**

None

# MEASURE RESULTS UNDER CONTROL OF HEALTH CARE PROFESSIONALS, ORGANIZATIONS AND/OR POLICYMAKERS

The measure results are somewhat or substantially under the control of the health care professionals, organizations and/or policymakers to whom the measure applies.

#### NUMERATOR TIME WINDOW

Fixed time period

# **DATA SOURCE**

Administrative data Medical record

# **LEVEL OF DETERMINATION OF QUALITY**

Individual Case

# **PRE-EXISTING INSTRUMENT USED**

Unspecified

# **Computation of the Measure**

# **SCORING**

Rate

#### INTERPRETATION OF SCORE

Better quality is associated with a higher score

# **ALLOWANCE FOR PATIENT FACTORS**

Analysis by subgroup (stratification on patient factors, geographic factors, etc.)

#### **DESCRIPTION OF ALLOWANCE FOR PATIENT FACTORS**

There are eight distinct strata or sub-populations within the Surgical Care Improvement Project (SCIP) Topic Population, each identified by a specific group of procedure codes. The patients in each stratum are counted in the International Classification of Diseases (ICD) Population of multiple measures. (Refer to the SCIP ICD Population in the original measure documentation for further details.)

# STANDARD OF COMPARISON

External comparison at a point in time External comparison of time trends Internal time comparison

# **Evaluation of Measure Properties**

# **EXTENT OF MEASURE TESTING**

Unspecified

# **Identifying Information**

# **ORIGINAL TITLE**

SCIP-Inf-1: prophylactic antibiotic received within one hour prior to surgical incision.

# **MEASURE COLLECTION**

National Hospital Inpatient Quality Measures

# **MEASURE SET NAME**

Surgical Care Improvement Project (SCIP)

# **SUBMITTER**

Centers for Medicare & Medicaid Services Joint Commission, The

# **DEVELOPER**

Centers for Medicare & Medicaid Services/The Joint Commission

# **FUNDING SOURCE(S)**

All external funding for measure development has been received and used in full compliance with The Joint Commission's Corporate Sponsorship policies, which are available upon written request to The Joint Commission.

# **COMPOSITION OF THE GROUP THAT DEVELOPED THE MEASURE**

The Centers for Medicare & Medicaid Services assembled and maintained the Technical Expert Panel for development of the Surgical Infection Prevention Project (SIP) measures in 2002. The SIP set subsequently transitioned to the Surgical Care Improvement Project (SCIP) effective July 1, 2006. The panel has been maintained by the Centers for Medicare & Medicaid Services since the inception of the project.

SCIP Partners include the Steering Committee of 10 national organizations who have pledged their commitment and full support for SCIP:

- Agency for Healthcare Research and Quality
- American College of Surgeons
- American Hospital Association
- American Society of Anesthesiologists
- Association of Perioperative Registered Nurses
- Centers for Disease Control and Prevention
- Centers for Medicare & Medicaid Services
- Institute for Healthcare Improvement
- The Joint Commission
- Veterans Health Administration

# FINANCIAL DISCLOSURES/OTHER POTENTIAL CONFLICTS OF INTEREST

Expert panel members have made full disclosure of relevant financial and conflict of interest information in accordance with the Conflict of Interest policies, copies of which are available upon written request to The Joint Commission and the Centers for Medicare & Medicaid Services.

#### **ENDORSER**

National Quality Forum

# **INCLUDED IN**

Hospital Compare Hospital Quality Alliance

# **ADAPTATION**

Measure was not adapted from another source.

#### **RELEASE DATE**

2000 Aug

#### **REVISION DATE**

2009 Oct

# **MEASURE STATUS**

This is the current release of the measure.

This measure updates a previous version: Specifications manual for national hospital quality measures, version 2.5b. Centers for Medicare & Medicaid Services (CMS), The Joint Commission; 2008 Oct. various p.

# SOURCE(S)

Specifications manual for national hospital inpatient quality measures, version 3.0c. Centers for Medicare & Medicaid Services (CMS), The Joint Commission; 2009 Oct 1. various p.

# **MEASURE AVAILABILITY**

The individual measure, "SCIP-Inf-1: Prophylactic Antibiotic Received Within One Hour Prior to Surgical Incision," is published in "Specifications Manual for National Hospital Inpatient Quality Measures." This document is available from <a href="The Joint Commission Web site">The Joint Commission Web site</a>. Information is also available from the <a href="Centers for Medicare">Centers for Medicare</a> <a href="Medicaid Services">Medicaid Services</a> (CMS) Web site. Check The Joint Commission Web site and CMS Web site regularly for the most recent version of the specifications manual and for the applicable dates of discharge.

# **COMPANION DOCUMENTS**

The following are available:

- A software application designed for the collection and analysis of quality improvement data, the CMS Abstraction and Reporting Tool (CART), is available from the <u>CMS CART Web site</u>. Supporting documentation is also available. For more information, e-mail CMS PROINQUIRIES at proinquiries@cms.hhs.gov.
- The Joint Commission. A comprehensive review of development and testing for national implementation of hospital core measures. Oakbrook Terrace (IL): The Joint Commission; 40 p. This document is available from <a href="The Joint Commission">The Joint Commission Web site.</a>
- The Joint Commission. Attributes of core performance measures and associated evaluation criteria. Oakbrook Terrace (IL): The Joint Commission;
   5 p. This document is available from The Joint Commission Web site.
- Hospital compare: a quality tool provided by Medicare. [internet]. Washington (DC): U.S. Department of Health and Human Services; 2009 Oct 5; [accessed 2009 Oct 12]. This is available from the Medicare Web site. See the related QualityTools summary.

# **NQMC STATUS**

This NQMC summary was originally completed by ECRI on January 6, 2003. This NQMC summary was updated by ECRI Institute on May 4, 2007 and on October 26, 2007. The Joint Commission informed NQMC that this measure was updated on June 30, 2008 and provided an updated version of the NQMC summary. This NQMC summary was updated accordingly by ECRI Institute on December 11, 2008. The information was verified by the Centers for Medicare & Medicaid Services on March 19, 2009. The Joint Commission informed NQMC that this measure was updated again on October 1, 2009 and provided an updated version of the NQMC summary. This NQMC summary was updated accordingly by ECRI Institute on December 7, 2009. The information was verified by the Centers for Medicare & Medicaid Services on February 18, 2010.

# COPYRIGHT STATEMENT

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